

# Perfect State Transfer in Cycle Graphs ♡

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# Outline

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- Krawtchouk paths proof

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- Our work with orthogonal polynomials thus far
- Plans for future work

# Defining $K_n$

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$$K_n(x, N) = \sum_{k=0}^n \frac{(-x)_k (-n)_k}{(-N)_k} \frac{2^k}{k!}$$

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The underlying Jacobi matrix is mirror symmetric, corresponding to a path weighted by Krawtchouk polynomials, with the first node being node 0 and the last being node  $N$ .

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## Theorem

Let  $\mathcal{J}$  be a Jacobi matrix of order  $N$ . Then there exists some  $t > 0$  and  $\varphi$  such that

$$e^{it\mathcal{J}}e_1 = \varphi e_N \iff$$

- 1  $\mathcal{J}$  is mirror symmetric, and
- 2  $\lambda_k - \lambda_{k+1} = \frac{(2n_k+1)\pi}{t}$ ,  $n_k \in \mathbb{Z}$

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## Definition

Let  $\mathcal{K}'_N$  be defined as corresponding to the following recurrence relation.

$$-xK_n(x, N) = \frac{N-n}{2}K_{n+1}(x, N) - \frac{N}{2}K_n(x, N) + \frac{n}{2}K_{n-1}(x, N)$$

holding for all  $x$  if  $n = 0, 1, \dots, N-1$  and for  $x = 0, 1, \dots, N$  for  $n = N$ .



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holding for all  $x$  if  $n = 0, 1, \dots, N-1$  and for  $x = 0, 1, \dots, N$  for  $n = N$ .  $\mathcal{K}'_N$  is mirror symmetric and similar to  $\mathcal{K}_N$ .

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- We see that the eigenvalue differences for  $\mathcal{K}'_N$  are equal to  $-1$ , and that  $\lambda_k = \lambda'_k + a$  for some constant  $a$ ,  
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- Thus, when  $t = \pi$ ,  $\lambda_k - \lambda_{k+1} = \frac{-1\pi}{t}$ . This, combined with mirror symmetry, implies by the PST on paths theorem that  $\mathcal{K}_N$  realizes PST from node 0 to node  $N$  at time  $t = \pi$ .



# Orthogonal Polynomials: Review

Recall that we can write our recurrence relation using  $\mathcal{K}_N$  in terms of the **orthogonal Kratchouk polynomials**:

$$\mathcal{K}_N \begin{pmatrix} K_0(x) \\ K_1(x) \\ \vdots \\ K_N(x) \end{pmatrix} = -x \begin{pmatrix} K_0(x) \\ K_1(x) \\ \vdots \\ K_N(x) \end{pmatrix}$$

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In fact...

There exists a family of orthogonal polynomials for any Jacobi matrix!

# Splitting paths: Initial Algorithm

## Path on Four Nodes to Cycle on Six Nodes

If the matrix  $\mathcal{P} = \begin{pmatrix} 0 & b_1 & 0 & 0 \\ b_1 & 0 & b_2 & 0 \\ 0 & b_2 & 0 & b_3 \\ 0 & 0 & b_3 & 0 \end{pmatrix}$  realizes perfect state transfer then

$\mathcal{C} = \begin{pmatrix} 0 & b_1 & 0 & 0 & 0 & b_1 \\ \frac{1}{2}b_1 & 0 & b_2 & 0 & 0 & 0 \\ 0 & b_2 & 0 & \frac{1}{2}b_3 & 0 & 0 \\ 0 & 0 & b_3 & 0 & b_3 & 0 \\ 0 & 0 & 0 & \frac{1}{2}b_3 & 0 & b_2 \\ \frac{1}{2}b_1 & 0 & 0 & 0 & b_2 & 0 \end{pmatrix}$  also realizes perfect state transfer.

Maksym showed us the process on a  $P_3$  and we made code to generalize it to  $P_n$ .

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- We get the system of equations:

$$b_1 P_1 = \lambda P_0$$

$$b_1 P_0 + b_2 P_2 = \lambda P_1$$

$$b_2 P_1 + b_3 P_3 = \lambda P_2$$

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- We will break this path into a cycle by splitting each inner node,  $P_i$ , into two nodes,  $P_i^1, P_i^2$ , where,

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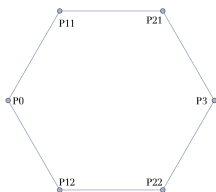
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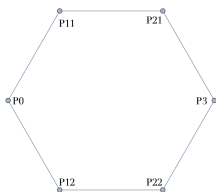
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- We will split the weight on the nodes such that the spectrum is preserved.

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- And rewrite our system,

$$b_1 Q_1 + b_1 Q_5 = \lambda Q_0$$

$$\frac{1}{2} Q_0 + b_2 Q_2 = \lambda Q_1$$

$$b_2 Q_1 + \frac{1}{2} Q_3 = \lambda Q_2$$

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# Initial Algorithm

- Now we have the matrix  $\mathcal{C} = \begin{pmatrix} 0 & b_1 & 0 & 0 & 0 & b_1 \\ \frac{1}{2}b_1 & 0 & b_2 & 0 & 0 & 0 \\ 0 & b_2 & 0 & \frac{1}{2}b_3 & 0 & 0 \\ 0 & 0 & b_3 & 0 & b_3 & 0 \\ 0 & 0 & 0 & \frac{1}{2}b_3 & 0 & b_2 \\ \frac{1}{2}b_1 & 0 & 0 & 0 & b_2 & 0 \end{pmatrix}$  which has the same eigenvalues as  $\mathcal{P}$ .

# Example

$P_5$  to  $C_8$

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$P_5$  to  $C_8$

- We will use a  $P_5$  that has weights from the Krawtchouk polynomials.
- Start with

$$\begin{pmatrix} 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & \sqrt{\frac{3}{2}} & 0 & 0 \\ 0 & \sqrt{\frac{3}{2}} & 0 & \sqrt{\frac{3}{2}} & 0 \\ 0 & 0 & \sqrt{\frac{3}{2}} & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 \end{pmatrix}$$



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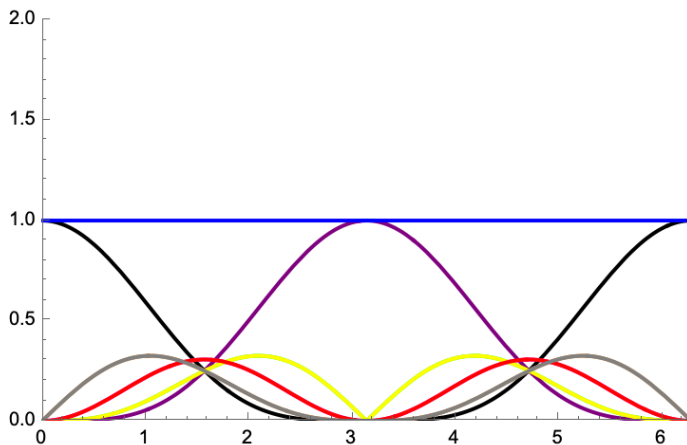
- With the algorithm we get the cycle:

$$\begin{pmatrix} 0 & 1 & 0 & 0 & 0 & 0 & 0 & 1 \\ \frac{1}{2} & 0 & \sqrt{\frac{3}{2}} & 0 & 0 & 0 & 0 & 0 \\ 0 & \sqrt{\frac{3}{2}} & 0 & \sqrt{\frac{3}{2}} & 0 & 0 & 0 & 0 \\ 0 & 0 & \sqrt{\frac{3}{2}} & 0 & \frac{1}{2} & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & \frac{1}{2} & 0 & \sqrt{\frac{3}{2}} & 0 \\ 0 & 0 & 0 & 0 & 0 & \sqrt{\frac{3}{2}} & 0 & \sqrt{\frac{3}{2}} \\ \frac{1}{2} & 0 & 0 & 0 & 0 & 0 & \sqrt{\frac{3}{2}} & 0 \end{pmatrix}$$

Do we get PST?

# Example

Do we get PST? Yes at  $t = \pi$



# A Useful Pattern

We did a few examples and saw a pattern:

$$\bullet \begin{pmatrix} 0 & b_1 & 0 & b_1 \\ \frac{1}{2}b_1 & 0 & \frac{1}{2}b_2 & 0 \\ 0 & b_2 & 0 & b_2 \\ \frac{1}{2}b_1 & 0 & \frac{1}{2}b_2 & 0 \end{pmatrix} \text{ and } \begin{pmatrix} 0 & b_1 & 0 & 0 & 0 & b_1 \\ \frac{1}{2}b_1 & 0 & b_2 & 0 & 0 & 0 \\ 0 & b_2 & 0 & \frac{1}{2}b_3 & 0 & 0 \\ 0 & 0 & b_3 & 0 & b_3 & 0 \\ 0 & 0 & 0 & \frac{1}{2}b_3 & 0 & b_2 \\ \frac{1}{2}b_1 & 0 & 0 & 0 & b_2 & 0 \end{pmatrix}$$

The terms with a coefficient of  $\frac{1}{2}$  are in the columns corresponding with nodes that do not have their weight split.

Given  $b_1, \dots, b_n$  this is much easier to code than the algorithm we used to derive these matrices.

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The first row gives

$$b_1 P_1 + b_N P_{N-1} = x P_0.$$

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We want to find families of orthogonal polynomials that correspond to our cycle matrices. However, we run into some issues:

$$\begin{pmatrix} 0 & b_1 & 0 & \dots & 0 & b_N \\ b_1 & 0 & b_2 & \dots & 0 & 0 \\ 0 & b_2 & 0 & \ddots & 0 & 0 \\ 0 & 0 & \ddots & \ddots & \vdots & \vdots \\ \vdots & \vdots & & & & b_{n-1} \\ b_N & 0 & 0 & \dots & b_{n-1} & 0 \end{pmatrix} \begin{pmatrix} P_0 \\ P_1 \\ P_2 \\ \vdots \\ \vdots \\ P_{N-1} \end{pmatrix} = x \begin{pmatrix} P_0 \\ P_1 \\ P_2 \\ \vdots \\ \vdots \\ P_{N-1} \end{pmatrix}$$

The first row gives

$$b_1 P_1 + b_N P_{N-1} = x P_0.$$

If we assume that  $P_i$  has degree  $i$ , we get a contradiction!



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$\implies$  an  $N \times N$  matrix gives us  $\lceil \frac{N}{2} \rceil$  polynomials.

# A Simple Example

We can explicitly solve for our polynomials given a matrix!

Let's do the simplest example:  $b_i = 1$ .

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Our polynomials look like:

$$P_0 = 1, P_1 = x - 1$$

$$P_2 = x^2 - x - 1$$

$$P_3 = x^3 - x^2 - 2x + 1$$

$$P_4 = x^4 - x^3 - 3x^2 + 2x + 1$$

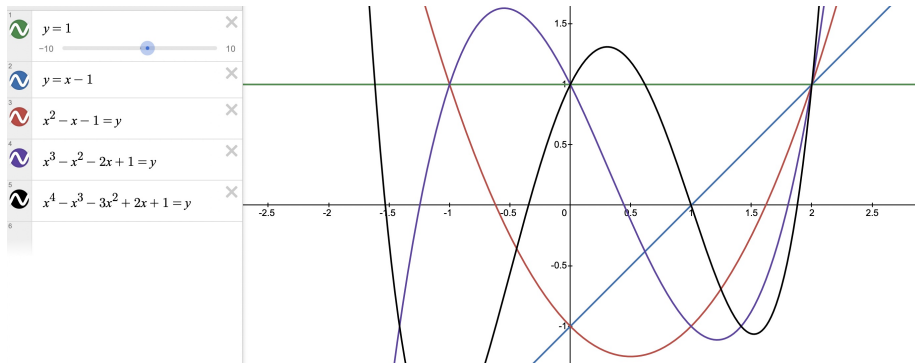
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It turns out to be pretty hard to check if polynomials are actually orthogonal, but we can observe some properties of these polynomials!



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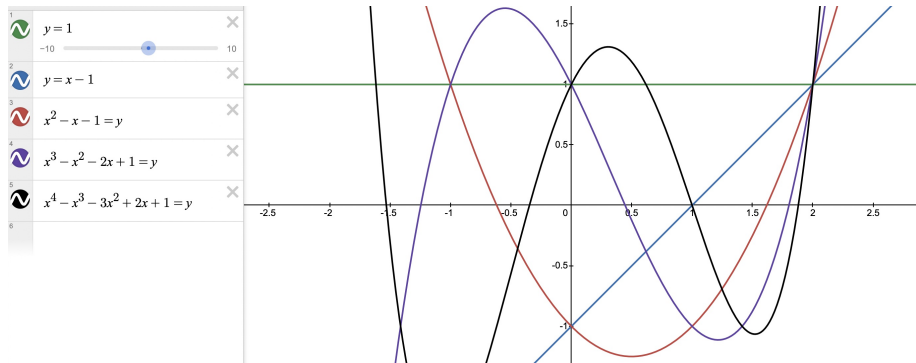
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The zeros of these polynomials interlace!

This is evidence that we are on the right track to find some families of orthogonal polynomials.

# Future Paths

- Formalizing the splitting algorithm and gaining a better understanding of how it works
- More work with orthogonal polynomials!

# Thank you!

(Still to Maksym and Rachel)