

# Linear Combinations of Chebyshev Polynomials and Early State Exclusion in Weighted Quantum Spin Chains

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# Overview

- Introduction and definitions
- Examples and root finding process
- Proof sketch and Tschebyscheff Polynomials
- Something that didn't work

# Definition

## Definition (Perfect State Transfer)

Let  $J$  be a  $N + 1 \times N + 1$  Jacobi matrix. If there exists a time  $t$  such that  $\mathbf{e}_0^T e^{iJt} \mathbf{e}_0 = 0$  and  $|\mathbf{e}_n^T e^{iJt} \mathbf{e}_0| = 1$ , then  $J$  has **Perfect State Transfer (PST)** at  $t$ .

# Definition

## PST Equiv Conditions

- Recall that a Jacobi matrix has perfect state transfer at time  $T_0$  iff
  - It is mirror symmetric (symmetric across both diagonals)
  - There exists positive integers  $N_2, \dots, N_k$  s.t. for its eigenvalues  $\lambda_1, \dots, \lambda_k$ , we have

$$\lambda_2 - \lambda_1 = \frac{(2N_2 + 1)\pi}{T_0}, \dots, \lambda_k - \lambda_{k-1} = \frac{(2N_k + 1)\pi}{T_0} \quad (1)$$

# Definition

## Definition (Early State Exclusion)

Let  $J$  be a  $N + 1 \times N + 1$  Jacobi matrix that has earliest perfect state transfer at time  $T_0$ . If there is a time  $0 < t < T_0$  such that  $\mathbf{e}_0^T e^{iJt} \mathbf{e}_0 = 0$  and  $|\mathbf{e}_n^T e^{iJt} \mathbf{e}_0| < 1$ , then  $J$  has **Early State Exclusion (ESE)** at time  $t$ .

# Overall Goal

## Problem

Our goal is to find weighted paths of length  $N$  with Early State Exclusion for infinitely many  $N$ .

## Examples of PST (no ESE)

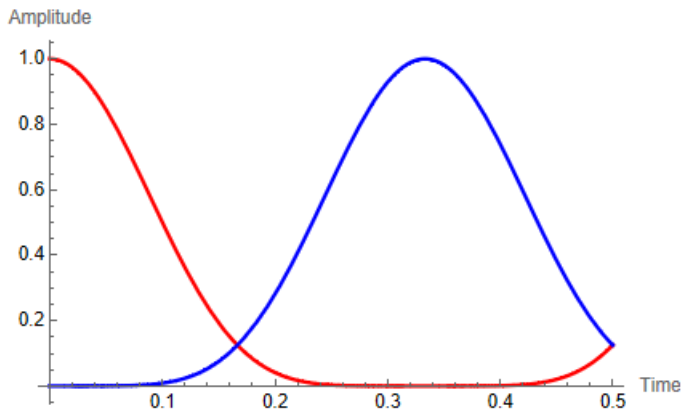


Figure:  $4 \times 4$  Case ( $N3 = 1, N3 = 1, N4 = 1$ )

# Examples of ESE

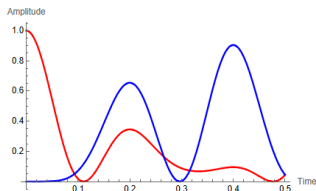


Figure:  $4 \times 4$  Case ( $N_2 = 1, N_3 = 3, N_4 = 1$ )

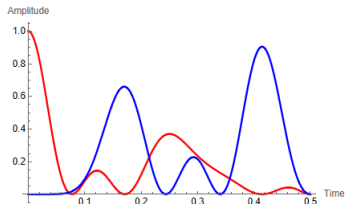


Figure:  $5 \times 5$  Case ( $N_2 = 1, N_3 = 3, N_4 = 3, N_5 = 1$ )



# Root Finding Process

We begin with a set of eigenvalues,  $\lambda_0, \lambda_1, \dots, \lambda_N$  and calculate the normalized eigenvectors of this tridiagonal matrix.

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# Root Finding Process

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- 1 Normalize the eigenvectors so that the matrix which diagonalizes,  $P$ , has the inverse  $P^{-1} = P^T$ .
- 2 Then, using the spectral theorem, we have that  $e^{-iDt}$  equal to:

$$e^{-iDt} = \begin{bmatrix} e^{-i\lambda_0 t} & \dots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \dots & e^{-i\lambda_N t} \end{bmatrix}$$

## Root Finding Process

- 3 Then, by making the substitution  $t \rightarrow i \ln(x + iy)$ , we must have  $x^2 + y^2 = 1$  ( $t$  is real) and we get that

$$e^{-iDt} = \begin{bmatrix} (x + iy)^{\lambda_0} & \dots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \dots & (x + iy)^{\lambda_N} \end{bmatrix}$$

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- 4 Then we calculate the matrix product according to the usual formula. Since we want to know when  $x_1(t) = 0$ , this becomes

$$(e_0)^T e^{-iJt} e_0 = (e_0)^T P e^{-iDt} P^T e_0 = P(x, y) + iQ(x, y) = 0$$

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- 5 Then, we have 3 equations,  $P(x, y) = 0$ ,  $Q(x, y) = 0$ , and  $x^2 + y^2 = 1$ .

# Plot

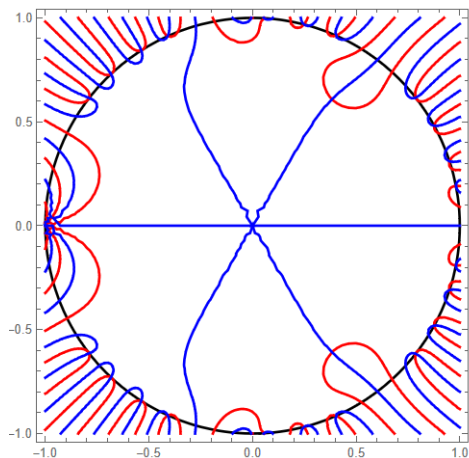


Figure: 7 x 7 Case ( $N_1 = 1, N_2 = N_3 = N_4 = N_5 = N_6 = 3, N_7 = 1$ )

# Earliest P.S.T Lemma

## Lemma

*Let  $J$  be a  $n \times n$  mirror symmetric matrix with P.S.T at time  $\pi$  and eigenvalues  $\lambda_0, \dots, \lambda_n$ . Then the earliest time  $J$  has perfect state transfer is  $\frac{\pi}{\gcd(m_0, \dots, m_{n-1})}$  where  $m_i = \lambda_i - \lambda_{i+1}$ .*



# Sufficient Conditions for E.S.E

## Theorem

Let  $n$  be an even integer and  $J$  be a  $n \times n$  mirror symmetric matrix with P.S.T at time  $\pi$ . Let  $\lambda_0, \dots, \lambda_n$  be the eigenvalues of  $J$  and let  $m_j = \lambda_j - \lambda_{j+1}$ . Suppose

- 1  $\lambda_0, \dots, \lambda_n$  are symmetric around 0
- 2  $\gcd(m_0, \dots, m_{n-1}) = 1$
- 3  $m_{\frac{n}{2}} > 1$

Then  $J$  has E.S.E.

## Proof Sketch of Theorem

### Proof.

Using Condition 1 we first pair up the negative and positive eigenvalues as and rewrite them as

$$\lambda_0 = -x_{\frac{n}{2}}, \dots, \lambda_{\frac{n}{2}} = -x_0 \text{ and}$$

$\lambda_{\frac{n+1}{2}} = x_0, \dots, \lambda_n = x_{\frac{n}{2}}$ . Then we may write  $\mathbf{e}_0^T e^{j\lambda t} \mathbf{e}_0$  as

$$\sum_{i=0}^{\frac{n}{2}} 2w(x_i) \cos(x_i) \quad (2)$$

Observe that each  $x_s$  must be of the form  $\frac{2k_s+1}{2}$  for some positive integer  $k_s$  and that  $x_s < x_{s+1}$ . By condition 3,  $x_0 \neq \frac{1}{2}$ . Thus  $k_0 > 0$ . Then  $\cos(x_s)$  is a Tschebyschef polynomial of degree  $k_s$  using  $x = \cos(\frac{t}{2})$ .  $\square$

## Proof Sketch of Theorem Continued

Condition 2 combined with the previous lemma implies that we have earliest P.S.T at  $\pi$ . Since cosine is even, if we have P.S.T in the interval  $(\pi, 2\pi]$  we would have P.S.T in the interval  $[0, \pi)$ . Thus the only time we have P.S.T is at  $\pi$ . Following previous work on linear combinations of orthogonal polynomials we know  $\sum_{i=0}^{\frac{n}{2}} 2w(x_i)\cos(x_s)$  has at least  $2k_0 + 1$  roots in  $[-1, 1]$ . We know one of the roots is at  $t = \pi$ . The other roots must then correspond to some  $t \neq \pi$ . But we know that we don't have P.S.T since  $t \neq \pi$ . Thus we have E.S.E.

## Something That Didn't Work

It is well known that, for a sequence of orthogonal polynomials,  $\{P_n(x)\}_{n=0}^{\infty}$ , that  $P_n(x)$  must have  $n$  zeroes over the support of the measure/weight function,  $[a,b]$ .

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The classical way of proving this involves assuming  $P_n(x)$  has less than  $n$  roots, and forming a monic polynomial  $R(x)$  which has the same roots as  $P_n(x)$  and is therefore of degree less than  $n$ .

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Then, the "inner product" is evaluated:

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by design,  $P_n(x)$  is orthogonal to any polynomial of degree less than  $n$ , so this integral must be zero. However, if  $R(x)$  has the same roots as  $P_n(x)$ , then the integrand is always positive, meaning the integral is non-zero.

## Something That Didn't Work: 2

We have proven that it is possible to realize ESE in infinitely many cases of arbitrarily large matrices. However, this relies off of the assumption that the size of the matrix is even. Computationally, this is too strong of a restriction.

Why the problem?



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Is there a way of repurposing the proof from the previous slide in order to guarantee at least one zero?

## Spoiler: No

Suppose that  $R(t)$  isn't a monic polynomial which shares the same roots as  $x_i(t)$ , but is a linear combination of Tchebysheff polynomials:

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Then, if  $R(t)$  has the same roots as  $x_i(t)$ , then we can use the same argument with respect to orthogonality to show that there must be at least 1 non-trivial root.

However, by doing some arithmetic, we get that there are some points in the support of the Tschebyschev polynomials so that it is impossible to have only one root

## Future Problems (AKA not ours?)

We've seen that the existence of ESE is intimately related to the location of zeroes for Chebyshev polynomials. Given how many fundamental questions in math deal with zeroes, there's a lot of work cut out for whoever continues this work.

Thank you!

Thank you Dr. Maxym and Dr. Bailey! :)

The screenshot shows the 'MATCH RECAP' screen for a 'VICTORY ROYALE' match. At the top, it displays 'MATCH RECAP' and 'MATCH STATS' tabs. The player's level is 'LVL 3' with 44,029 XP towards LVL 4 and 10 stars. A large '#1 VICTORY ROYALE' banner is prominent. Below it, a 'VICTORY CROWN' icon is shown with the number '8'. A message states 'YOU'VE EARNED A VICTORY CROWN'. The 'QUEST COMPLETED' section shows 'Collect bars at named locations' with a green checkmark. The 'QUEST PROGRESS' section shows 'Stage 1 of 20 - Complete Match Quests' at 4/6 and 'Complete Kickstart Quests' at 1/7. A summary box indicates '223 BARS COLLECTED', '78,423 XP MATCH TOTAL', and '78,423 XP QUEST'. On the right, there are three buttons: 'READY UP!', 'REPORT PLAYER', and 'RETURN TO LOBBY (HOLD)'.